PTO/SB/21 (02-04) (AW 02/2004)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 10

| Application Number | | 10/009,313 | |
|--------------------|----------------------|----------------------------------|--|
| | Filing Date | April 19, 2002 | |
| | First Named Inventor | Carl-Ludwig Graf von Deym et al. | |
| | Art Unit | 3653 | |
| | Examiner Name | Jonathan R. Miller | |
| | Attorney Docket No. | SSM-492US | |

| E | ENCLOSURES (Check all that apply | ·) | | | | | |
|---|---|-------------|---|--|--|--|--|
| Fee Transmittal Form Fee Attached | ☐ Drawing(s) ☐ Licensing-related Papers | | After Allowance Communication to Group | | | | |
| Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement | Petition Petition to Convert to a Provisional Application. Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund | | Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return Post Card | | | | |
| Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | CD, Number of CD(s) | | RECEIVED JUL 0 9 2004 GROUP 360 | | | | |
| SIGNATURE | OF APPLICANT, ATTORNEY OR AC | SENT | | | | | |
| Firm or Individual Christian M. Bauer Name Signature | Registration No. (Attorney/A | gent) 5 | 51,443 | | | | |
| Date June 28, 2004 | | | | | | | |
| CERTIF | ICATE OF TRANSMISSION / MAILING | | | | | | |
| I hereby certify that this correspondence is being facsimile postage as first class mail in an envelope addressed to: Co | transmitted to the USPTO or deposited with the Uommissioner for Patents, P.O. Box 1450, Alexandr | nited State | es Postal Service with sufficient 313-1450 on this date: | | | | |
| Typed or printed name Christian M. Bauer | | 1.4.1, 1 | | | | | |
| Signature hom By | | Date | June 28, 2004 | | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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| F | EE | TR | AN | SN | IIT | TAL | _ |
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| | • | for | FY | 20 | 04 | • | |

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

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| Complete if Known | | | | | |
|----------------------|----------------------------------|--|--|--|--|
| Application Number | 10/009,313 | | | | |
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| First Named Inventor | Carl-Ludwig Graf von Deym et al. | | | | |
| Examiner Name | Jonathan R. Miller | | | | |
| Art Unit | 3653 | | | | |
| Attorney Docket No. | SSM-492US | | | | |

| METHOD OF PAYMENT (check of that applied | | | | EFF CALCULATION (| | | | | |
|---|--|--|----------|-------------------|----------------------------------|---|---|-------------|--|
| METHOD OF PAYMENT (check all that apply) | | | | | FEE CALCULATION (continued) | | | | |
| ☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None Order | | | | | IAL FEE Smal | ES I Entity | | | |
| ☑ Deposit Account (use as backup only): | | | | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | |
| Deposit Account | 18-0350 | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | |
| Number Deposit | 10-0000 | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | | |
| Deposit Account RatnerPrestia Name | | | 1053 | 130 | 1053 | 130 | Non-English specification | | |
| The Director is aut | | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | | |
| ☐ Charge fee(s) ☐ Credit any over | indicated below | W | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | |
| ☑ Charge any act | dditional fee(s) | or any underpayment of fee(s) w, except for the filing fee to the | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | |
| | ed deposit acco | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | | |
| | FEE C | CALCULATION | 1252 | 420 | 2252 | 210 | Extension for reply within second month | | |
| 1. BASIC FIL | ING FEE | | 1253 | 950 | 2253 | 475 | Extension for reply within third month | | |
| , , | Small Entity | 5 5 1 | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | | |
| | ee Fee ode (\$) | Fee Description Fee Paid | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | | |
| | 001 385 | Utility filing fee | 1401 | 330 | 2401 | 165 | Notice of Appeal | | |
| | 002 170 003 265 | Design filing fee | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | . | |
| | 003 265 | Plant filing fee Reissue filing fee | 1403 | 290 | 2403 | 145 | Request for oral hearing | 7 | |
| 1005 160 2 | 005 80 | Provisional filling fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | |
| | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | | | | |
| SUBTOTAL (1) (\$) 0 | | | | 1,330 | 2453 | 665 | Petition to revive – unintentional | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from Fee Claims below Paid Total Claims 21 -20** = 1 x 9 = 9 | | | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | | |
| | | | 1502 | 480 | 2502 | 240 | Design issue fee |) | |
| Independent 2 | =- | | 1503 | 640 | 2503 | 320 | Plant issue fee | | |
| Claims 2 Multiple | 3** = | 0 X = 0 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | |
| Dependent | | X = 0 | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | | |
| | Small Entity | | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | | |
| Fee Fee Code (\$) | Fee Fee Code (\$) | Fee Description | 8021 | 40 | 8021 | 40 | Recording each patent assignment per | | |
| 1202 18 | 2202 9 | Claims in excess of 20 | 1809 | 770 | 2809 | 385 | property (times number of properties) Filing a submission after final rejection (37 | | |
| 1201 86 1203 290 | 2201 43 2203 145 | Independent claims in excess of 3 | 1810 | 770 | 2810 | 385 | CFR § 1.129(a)) For each additional invention to be | | |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid ** Reissue independent claims over | | ,,, | 20.0 | 000 | examined (37 CFR § 1.129(b)) | | |
| 1204 00 | original patent | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | | | |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | |
| SUBTOTAL (2) (\$) 9 | | | | fee (spe | cify) | | | | |
| **or number previous | **or number previously paid, if greater; For Reissues, see above | | | | Basic Fi | iling Fee | Paid SUBTOTAL (3) (\$) 0 | 一 | |
| or maniber previous | y paid, it greater | i, i oi incissuos, see audve | <u> </u> | | | | 1 (4) | - 1 | |

| SUBMITTED BY Complete (if applicable) | | | | | | |
|---------------------------------------|--------------------|----------------------------------|--------|-----------|---------------|--|
| Name (Print/Type) | Christian M. Bauer | Registration No. Attorney/Agent) | 51,443 | Telephone | 610-407-0700 | |
| Signature | Chr. M E | SAUER_ | | Date | June 28, 2004 | |

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